

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|                       |                              |   |                           |
|-----------------------|------------------------------|---|---------------------------|
| In re application of: | Avijit Chatterjee, et al.    | : | Date: June 20, 2003       |
| Group Art Unit:       | Unassigned                   | : | IBM Corporation           |
| Examiner:             | Unassigned                   | : | Intellectual Property Law |
| Serial No.:           | Unassigned                   | : | Dept. 917, Bldg. 006-1    |
| Filed:                | Herewith                     | : | 3605 Highway 52 North     |
| Title:                | FEDERATED ANNOTATION BROWSER | : | Rochester, MN 55901-7829  |

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No.: Unassigned  
Docket No.: ROC920030238US1

PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.

Date: June 20, 2003

Respectfully submitted,

By William J. McGinnis  
William J. McGinnis, Counsel  
Registration No. 25,698

Telephone: (507) 253-5331  
Fax: (507) 253-2382

Serial No.: Unassigned  
Docket No.: ROC920030238US1

PTO/SB/08A

Application Number.:

Unassigned

Filing Date:

June 20, 2003

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

First Named Inventor:

Avijit Chatterjee

Art Unit:

Unassigned

Examiner Name:

Unassigned

Sheet \_1\_ of \_2\_

Attorney Docket Number.:

ROC920030238US1

**U.S. PATENT DOCUMENTS**

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Document Number<br>Number - Kind Code <sup>2</sup><br>(if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited<br>Document | Pages, Columns<br>or Lines Where<br>Relevant Passages<br>or Figs. Appear |
|-----------------------|--------------------------|--|--------------------------------|---|--|
|                       |                          | US - 6.519.603 B1  | 02-11-2003                     | Bays et al.   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
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|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |
|                       |                          | US -   |                                |   |  |

**FOREIGN PATENT DOCUMENTS**

| Examiner<br>Initials | Cite<br>No. <sup>1</sup> | Foreign Patent Document<br>Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup><br>Code (if known) | Publication Date<br>MM-DD-YYYY | Name of<br>Patentee or<br>Applicant of<br>Cited Document | Pages, Columns<br>or Lines Where<br>Relevant<br>Passages or Figs.<br>Appear | T <sup>6</sup> |
|----------------------|--------------------------|---|--------------------------------|--|---|----------------|
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|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 2 of 2

|                          |                   |
|--------------------------|-------------------|
| Application Number.:     | Unassigned        |
| Filing Date:             | June 20, 2003     |
| First Named Inventor:    | Avijit Chatterjee |
| Art Unit:                | Unassigned        |
| Examiner Name:           | Unassigned        |
| Attorney Docket Number.: | ROC920030238US1   |

## OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

[illegible]

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|--------------------|--|-----------------|--|
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